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Legal developments: European Union

Commission

The annual report from the Commission to the European Parliament and the Council on the implementation of Regulation (EU) 2021/821 setting up a Union regime for the control of exports, brokering, technical assistance, transit and transfer of dual-use items was published on 23 November 2021. Available [here](#).

Corrigendum to Commission Implementing Regulation (EU) 2021/1832 of 12 October 2021 amending Annex I to Council Regulation (EEC) No 2658/87 on the tariff and statistical nomenclature and on the Common Customs Tariff, Official Journal of the European Union, L 414/1, 19.11.2021. Available [here](#).

On 15 September, the Commission Recommendation (EU) 2021/1700 on internal compliance programmes for controls of research involving dual-use items under Regulation (EU) 2021/821 of the European Parliament and of the Council setting up a Union regime for the control of exports, brokering, technical assistance, transit and transfer of dual-use items was published. Available [here](#).

Germany

Leaflet on Art. 5 of the EU Dual-Use Regulation (Regulation (EU) 2021/821), BAFA, October 2021. Available [here](#).

Belgium

The Strategic Goods Control Unit of the Flemish Government has updated its general guidance for internal compliance programmes of operators involved in the trade of dual use and military controlled items. Available [here](#) (in dutch).

Legal developments: International

Missile Technology Control Group

Public Statement from the Plenary Meeting of the Missile Technology Control Regime Sochi, 8 October 2021. Available [here](#).

Australia

The Defence and Strategic Goods List (DSGL) has been updated and came into effect on 28 August 2021. The DSGL 2021 includes a total of 209 amendments. Available [here](#).

United States

"Information Security Controls: Cybersecurity Items", BIS, 21 October 2021. Available [here](#).

"Clarifications of Availability and Expansion of Restrictions on Availability of License Exception Strategic

Trade Authorization Under the Export Administration Regulations", BIS, 22 October 2021. Available [here](#).

Members' works

Karen Gasparyan, "A new App for Dual-use items identification and classification", 29 November 2021, ESU ULiège. Available [here](#).

Filippo Sevini, Enzo Caponetti, Christos Charatsis, Xavier Arnes Novau, "Supporting the Additional Protocol declarations on nuclear research and technology by the TIM DU platform", ESARDA Bulletin N.62. Available [here](#).

X. Arnés-Novau, F. Sevini, *Export Control Handbook for Chemicals (2021 edition) - Dual-use, military list, hazardous chemicals, explosives and drugs precursors, sanctions and anti-torture*. Available [here](#).

Abby Eineman, "Mass Spectrometer Cases Illustrate Diversity of Iranian Procurement Efforts," Wisconsin Project on Nuclear Arms Control, 28 October 2021. Available [here](#).

Kolja Brockmann, "Additive Manufacturing for Missiles and Other Uncrewed Delivery Systems: Challenges for the Missile Technology Control Regime", SIPRI Background Paper, October 2021. Available [here](#).

Mark Bromley and Kolja Brockmann, "Implementing the 2021 Recast of the EU Dual-use Regulation: Challenges and Opportunities", Non-Proliferation and Disarmament Papers, No. 77, September 2021. Available [here](#).

Trevor R. Jones and Treston Chandler, "Sweeping U.S. Lists Seek to Restrict Trade and Investment that Support the Chinese Military," Wisconsin Project on Nuclear Arms Control, 27 September 2021. Available [here](#).

Egor Kartuzov, "Evaluation of hybrid threats to DU technologies transfer", *soon be published in Science Rise*, Volume 6, 2021. Available [here](#).

Kolja Brockmann, "The Missile Technology Control Regime at a crossroads", SIPRI Topical background, September 2021. Available [here](#).

Ian J. Stewart, *International Nuclear Export Controls and Non-Proliferation: The Collective Action Problem*, Routledge Global Security Studies, September 2021. Available [here](#).

Studies and more: Articles, reports, links

(Video) Speaker: Dr. Richard Pilch, "Precision Medicine and Its Dual-Use Implications for Genetic Weapons Systems", James Martin Center, 23 September 2021. Available [here](#).

European Parliament - Parliamentary questions: the EP has posed a question to the Council concerning mass-surveillance technology, specifically in relation to the Russian company NtechLab, "responsible for operating Russia's vast biometric mass surveillance system", 13 October 2021. Available [here](#).

Richard Pilch Jill Luster Miles Pomper Robert Shaw,
"Scientific Risk Assessment of Genetic Weapon Systems", CNS
Occasional Paper, #52, September 2021. Available [here](#).

News

Toi Staff, "Amid NSO scandal, Israel said to ban cyber tech sales to 65 countries", The time of Israel, 25 November 2021. Available [here](#).

ANI, "Russia detects Pakistan move to illegally procure dual-use material for its Chashma nuke plant, halts export", 19 November 2021. Available [here](#).

Veronica Vella, "Amendments to the EU dual-use items on the way", ESU ULg, 27 October 2021. Available [here](#).

Catalin Cimpanu, "Israel restricts cyberweapons export list by two-thirds, from 102 to 37 countries", The Record, 25 November 2021. Available [here](#).

Le Monde - Three french companies sold massive digital surveillance tools to Egypt with the authorities' consent. In fact, being the items subject to specific authorization, the companies submitted and obtained them before providing the items to the end-user. 24 November 2021. Available [here](#) (French).

US Department of Justice, "South Florida Residents Convicted of Attempting to Illegally Export Controlled Items to Libya", 22 October 2021. Available [here](#).

US Department of Commerce, "Commerce Tightens Export Controls on Items Used in Surveillance of Private Citizens and other Malicious Cyber Activities", 20 October 2021. Available [here](#).

EU Sanctions, "Norway charges violations of sanctions on Iran", 5 October 2021. Available [here](#).

Frank Pan and Tina Li, "MOFCOM Issues New Encryption Import Control Effective Immediately", BakerMcKenzie, 11 November 2021. Available [here](#).

US Department of Justice, "Iranian National Sentenced for Illegally Exporting Military Sensitive Items", 14 September 2021. Available [here](#).

European Commission, "EU-US Trade and Technology Council Inaugural Joint Statement", 29 September 2021. The Pittsburgh Statement marked the engagement of the cooperation between the EU and the US on trade and technology issues, among which export control and dual-use items trade. Available [here](#).

"Of interest"

EU Partner-to-Partner (P2P) webpage

Webpage available [here](#).

Newsletters available [here](#).



Chaudfontaine Newsletter

on dual-use items trade controls

ISSUE #45
November 2021

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EU Non-Proliferation and Disarmament Consortium

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Available [here](#).

(New) TIM Dual-Use Web Platform – Joint Research Centre (European Commission)

Available [here](#).